

4450-23
JC 218767USPRNixon & Vanderhye P.C. (10/99)
(Domestic Non-Assigned/Foreign) Page 1**RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NOVEL 1,2,4-BENZOTRIAZINE-1,4-DIOXIDES

the specification of which (check applicable box(es)):

☐ is attached hereto
☒ was filed on August 25, 2006 as U.S. Application Serial No. Unassigned (Atty. Dkt. No. 4450-23)
☒ was filed as PCT International application No. PCT/NZ2005/000034 on 1 March 2005
 and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application.

Priority Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
531499	New Zealand	1 March 2004

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Day/Month/Year Filed
--------------------	----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/NZ2005/000034	1 March 2005	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint Nixon & Vanderhye P.C., telephone number 703-816-

4000 (to whom all communications are to be directed) and the attorneys of: **Customer Number 23117**, individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. I also authorize Nixon & Vanderhye to add or delete attorneys from that Customer Number, and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

1. Inventor's Signature: William Alexander Denny Date: 4 Oct 2006
 Inventor: William Alexander Denny New Zealand
 (first) MI (last) (citizenship)
 Residence: (city) Auckland (state/country) New Zealand
 Mailing Address: 165 Gossamer Drive, Pakuranga, Auckland, New Zealand
 (Zip Code) 1708

2. Inventor's Signature: Michael Patrick Hay Date: 4 Oct. 2006
 Inventor: Michael Patrick Hay New Zealand
 (first) MI (last) (citizenship)
 Residence: (city) Auckland (state/country) New Zealand
 Mailing Address: 52 St. Leonards Road, Epsom, Auckland, New Zealand
 (Zip Code) 1003

[X] See attached sheet(s) for additional inventor(s) information!!

BEST AVAILABLE COPY

4450-23

Serial No. Unassigned

Page 2

Nixon & Vanderhye P.C. (10/99)
(Domestic Non-Assigned/Foreign)

3. Inventor's Signature: K. Hicks Date: 4/11/06
Inventor: Kevin Owen Hicks
(first) (last) (citizenship)
Residence: (city) Auckland (state/country) New Zealand
Mailing Address: 26 Duke Street, Mount Roskill, Auckland, New Zealand
(Zip Code) 1004
4. Inventor's Signature: F.B. Pruijn Date: 4 Oct 2006
Inventor: Frederik MI Prujn
(first) (last) (citizenship)
Residence: (city) Auckland (state/country) New Zealand
Mailing Address: 1052 Scenic Drive, Swanson, Auckland, New Zealand
(Zip Code) 1008
5. Inventor's Signature: William Robert Wilson Date: 4 OCT 2006
Inventor: William Robert Wilson
(first) (last) (citizenship)
Residence: (city) RD2 Waiuku (state/country) New Zealand
Mailing Address: 199 Smith Road, RD2 Waiuku, New Zealand
(Zip Code) _____
6. Inventor's Signature: _____ Date: _____
Inventor: Karin MI Pchalek
(first) (last) (citizenship)
Residence: (city) Auckland (state/country) New Zealand
Mailing Address: 15/27 Birdwood Crescent, Parnell, Auckland, New Zealand
(Zip Code) _____

FOR ADDITIONAL INVENTORS, check box [] and attach sheet with same information and signature and date for each.

BEST AVAILABLE COPY

4:50:23
JC 218767USPRNixon & Vanderhye P.C. (10/99)
(Domestic Non-Assigned/Foreign) Page 1

RULE 63 (37 C.F.R. 1.63)
INVENTORS DECLARATION FOR PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

NOVEL 1,2,4-BENZOTRIAZINE-1,4-DIOXIDES

the specification of which (check applicable box(es)).

☐ is attached hereto

☒ was filed on August 25, 2006 as U.S. Application Serial No. Unassigned (Atty. Dkt. No. 4450-23)

☒ was filed as PCT International application No. PCT/NZ2005/000034 on 1 March 2005

and (if applicable to U.S. or PCT application) was amended on

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Prior Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
531499	New Zealand	1 March 2004

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Day/Month/Year Filed
--------------------	----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/NZ2005/000034	1 March 2005	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint Nixon & Vanderhye P.C., telephone number 703-810-

4000 (to whom all communications are to be directed) and the attorneys of: **Customer Number 23117**, individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. I also authorize Nixon & Vanderhye to add or delete attorneys from that Customer Number, and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

1	Inventor's Signature:	Date:
Inventor:	William Alexander Donny (first) (last)	New Zealand (citizenship)
Residence (city)	Auckland (state/country)	New Zealand
Mailing Address:	165 Gossamer Drive, Pakuranga, Auckland, New Zealand	
(Zip Code)	1706	
2	Inventor's Signature:	Date:
Inventor:	Michael Patrick Hay (first) (last)	New Zealand (citizenship)
Residence (city)	Auckland (state/country)	New Zealand
Mailing Address:	52 St Leonards Road, Epsom, Auckland, New Zealand	
(Zip Code)	1003	

[X] See attached sheet(s) for additional inventor(s) information!!

BEST AVAILABLE COPY

4450-23

Serial No. Unassigned

Page 2

Nixon & Vanderhye P.C. (10/99)
(Domestic Non-Assigned/Foreign)

3	Inventor's Signature: Inventor:	<u>Kevin</u> (first)	<u>Owen</u> MI	<u>Hicks</u> (last)	Date: _____	<u>New Zealand</u> (citizenship)
	Residence: (city)	<u>Auckland</u>	(state/country)		<u>New Zealand</u>	
	Mailing Address:	<u>25 Duke Street, Mount Roskill, Auckland, New Zealand</u>				
	(Zip Code)	<u>1001</u>				
4	Inventor's Signature: Inventor:	<u>Frédéric</u> (first)	<u>MI</u>	<u>Paulin</u> (last)	Date: _____	<u>Dutch</u> (citizenship)
	Residence: (city)	<u>Auckland</u>	(state/country)		<u>New Zealand</u>	
	Mailing Address:	<u>1052 Scenic Drive, Swanson, Auckland, New Zealand</u>				
	(Zip Code)	<u>1008</u>				
5	Inventor's Signature: Inventor:	<u>William</u> (first)	<u>Robert</u> MI	<u>Wilson</u> (last)	Date: _____	<u>New Zealand</u> (citizenship)
	Residence: (city)	<u>RD2 Waiuku</u>	(state/country)		<u>New Zealand</u>	
	Mailing Address:	<u>199 Smith Road, RD2 Waiuku, New Zealand</u>				
	(Zip Code)					
6	Inventor's Signature: Inventor:	<u>Karin Pchalek</u>			Date: <u>08.10.06</u>	
		<u>Karin</u> (first)	<u>MI</u>	<u>Pchalek</u> (last)		<u>German</u> (citizenship)
	Residence: (city)	<u>Auckland</u>	(state/country)		<u>New Zealand</u>	
	Mailing Address:	<u>15/27 Birdwood Crescent, Parnell, Auckland, New Zealand</u>				
	(Zip Code)					

BEST AVAILABLE COPY

FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DENNY et al

Atty. Ref.: 4450-23; Confirmation No.

Appl. No. 10/590,796

TC/A.U. Unassigned

Filed: August 25, 2006

Examiner: Unassigned

For: NOVEL 1,2,4-BENZOTRIAZINE-1,4-DIOXIDES

* * * * *

November 2, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

IDENTIFICATION OF PRACTITIONERS TO BE RECOGNIZED

Of the Patent Practitioners identified in the concurrently filed Power of Attorney,
please recognize the following listed Patent Practitioners as being of record in the
above-identified application/patent.

Listing of Practitioners of Record (No more than 10)

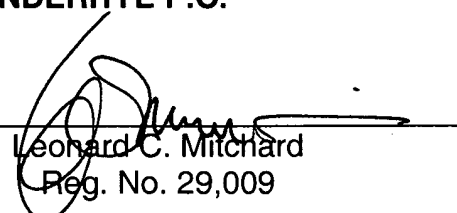
Larry S. Nixon	Reg. No. 25,640
Arthur R. Crawford	Reg. No. 25,327
Robert W. Faris	Reg. No. 31,352
Mark E. Nusbaum	Reg. No. 32,348
Michael J. Keenan	Reg. No. 32, 106
Jeffry H. Nelson	Reg. No. 30,481
John R. Lastova	Reg. No. 33,149
H. Warren Burnam, Jr.	Reg. No. 29,366
Michael J. Shea	Reg. No. 34,725
Leonard C. Mitchard	Reg. No. 29,009

DENNY et al
Appl. No. 10/590,796
November 2, 2006

Respectfully submitted,

NIXON & VANDERHYE P.C.

By: _____


Leonard C. Mitchard
Reg. No. 29,009

LCM:lff
901 North Glebe Road, 11th Floor
Arlington, VA 22203-1808
Telephone: (703) 816-4000
Facsimile: (703) 816-4100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

DENNY et al

Atty. Ref.: 4450-23

Serial No. Unassigned

Group: Unassigned

Filed: August 25, 2006

Examiner: Unassigned

For: NOVEL 1,2,4-BENZOTRIAZINE-1,4-DIOXIDES

* * * * *

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**EXCLUSIVE SUBSTITUTE POWER OF ATTORNEY
AND EXCLUSIVE PROSECUTION HEREAFTER BY
ASSIGNEE UNDER 37 C.F.R. §§ 1.36, 3.71 AND 3.73**

Auckland Uniservices Limited, being the owner of all right, title and interest in the above-identified patent application, hereby revokes all previous powers of attorney in this case, if any, and hereby appoints the attorneys of **Customer Number 23117** ~~individually and collectively its attorneys to prosecute this application and to transact all~~ business in the Patent and Trademark Office in connection therewith, and with the resulting patent.

Auckland Uniservices Limited also authorizes Nixon & Vanderhye to act and rely solely on instructions communicated from the person, attorney, firm or other organization sending instructions to Nixon & Vanderhye on behalf of the owner.


DENNY et al
Serial No. Unassigned

Certificate Under 37 C.F.R. §3.73(b)

Auckland Uniservices Limited, a corporation having a place of business at Level 10, 70 Symonds Street, Auckland, New Zealand states that it is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors to the aforesaid assignee, a copy of the assignment being attached.

The undersigned (whose title is typed below) is empowered to sign this statement on behalf of Auckland Uniservices Limited.

Auckland Uniservices Limited

03 October 2006 By: 
Date Name: J. P. MONK
Title: Intellectual Property Advisor